

UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20530

SUPPLEMENTAL STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended

SEP 27 1976

For Six Month Period Ending _____
(Insert date)

Name of Registrant **Ruder & Finn Incorporated** Registration No. **1481**

Business Address of Registrant
110 East 59th Street
New York, New York, 10022
I - REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in Item 1.

Not Applicable

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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Not Applicable

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? ☐ Yes ☒ No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☐

Not Applicable

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or connection	Date terminated
Roger Shelley	Public Relations	8/31/76

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Position or connection	Date connection began
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Not Applicable

II - FOREIGN PRINCIPAL

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

-
10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Japan External Trade Organization

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Japan External Trade Organization -- see attached schedule # 1

2. If the registrant is a corporation, state the name of the corporation and the name of the person who is the principal officer or director of the corporation.

¹ The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Japan External Trade Organization -- see schedule #1 attached

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

Yes ☐ No ☒

If yes, describe fully.

² The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will or which he intends to prevail upon, indoctrinate, convert, induce, persuade, or in-

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
	Japan External Trade Organization--see schedule #2 attached		\$58,668.82

Total

14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³ A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
	Japan External Trade Organization--see schedule #3 attached		\$22,352.21

Total

15. (b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V - POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.
Japan External Trade Organization

⁵ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda?

Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

No, Funds available from overall public relations budget.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☒ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
articles
☐ Advertising campaigns ☒ Press releases ☒ Pamphlets or other publications ☒ Lectures or speeches
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☒ Newspapers ☒ Libraries
☐ Legislators ☐ Editors ☒ Educational institutions
☐ Government agencies ☒ Civic groups or associations ☐ Nationality groups
☐ Other (Specify) _____

21. What language was used in this political propaganda:

☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period?

Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act?

Yes ☒ No ☐

VI - EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☐ No ☐

Exhibit B⁷ Yes ☐ No ☐

NOT APPLICABLE

If no, please attach the required exhibit.

- (a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?

Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶ The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

⁷ The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements, been filed by all of the persons named in Items 5 and 7 of the supplemental statement?

Yes ☐ No ☐

Not Applicable

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)



Charles Lipton
Treasurer

Subscribed and sworn to before me at

New York, New York

this

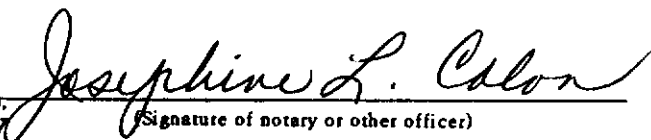
20th

day of

October

, 19 76

JOSEPHINE L. COLON
Notary Public, State of New York
No. 31-0714870 Qual. in N.Y. Co.
Commission Expires March 26, 1977


(Signature of notary or other officer)

⁸ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

Ruder & Finn, Incorporated
Schedule of Publications on Behalf of
JAPAN EXTERNAL TRADE ORGANIZATION
For Six Months Period Ending Sept. 27, 1976

<u>Description of Publication</u>	<u>By Whom Written, Edited Or Prepared</u>	<u>By Whom Printed, Produced Or Published</u>	<u>By Whom Distributed</u>
<u>Fact Sheets:</u>			
A Proposal From the Coordination Committee of the 1976 Trade Conference	Ruder & Finn, Inc.	Ruder & Finn, Inc	Ruder & Finn, Inc
Licensed Exports for Jan.-June 1976	Ruder & Finn, Inc.	Ruder & finn, Inc.	Ruder & Finn, Inc.
Interim Report of the Consumer Goods Committee of the Industrial Structure Council	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Quantum Evalua- tion of Estimated Information Activities in 1985	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Licensed Imports for Jan.-June 1976	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Monthly Economic Report (July)	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Flow of Japanese Funds into Devel- oping Countries During 1975	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
The Primary Commodities Committee Issues an Interim Report	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Monthly Economic Report (April)	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.

<u>Description of Publication</u>	<u>By Whom Written, Edited Or Prepared</u>	<u>By Whom Printed, Produced Or Published</u>	<u>By Whom Distributed</u>
<u>Fact Sheets: (cont'd)</u>			
Minus Growth Registered for Fiscal 1976 in Pollution Prevention Equipment In- vestment by the Private Sector	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Formulation of the Target for Petroleum Stockpiling	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Monthly Economic Report (June)	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
1976 Mining and Manufacturing Production	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Economic Pros- pects and Basic Management of the Economy for Fiscal 1976	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Monthly Economic Report (May)	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
Survey Results on Equipment and Construction In- vestment Projections for FY 1976	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
How to Promote Energy Conser- vation Policy	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.
An Analysis of the Business Conditions of World Corpora- tions in Fiscal 1975	Ruder & Finn, Inc.	Ruder & Finn, Inc.	Ruder & Finn, Inc.

Describe in full all activities of the Registrant during the period for or in the interest of each foreign principal.

During the six months, Ruder & Finn was engaged in the following activities on behalf of the Japan External Trade Organization.

1. General counselling on the improvement of JETRO publications. This includes consultation and advice concerning content, format, style, topics to be covered. This area also includes recommendations as to who should receive the publication.
2. Preparation and distribution of Fact Sheets: A Proposal From the Coordination Committee of the 1976 Trade Conference, Licensed Report of the Consumer Goods Committee of the Industrial Structure Council, Quantum Evaluation of Estimated Information Activities in 1985, Licensed Imports for Jan.- June 1976, Monthly Economic Report (July), Flow of Japanese Funds into Developing Countries During 1975, The Primary Commodities Committee Issues an Interim Report, Monthly Economic Report (April), Minus Growth Registered for Fiscal 1976 in Pollution Prevention Equipment Investment by the Private Sector, Formulation of the Target for Petroleum Stockpiling, Monthly Economic Report (June), 1976 Mining and Manufacturing Production, Economic Prospects and Basic Management of the Economy for Fiscal 1976, Monthly Economic Report (May), Survey Results on Equipment and Construction Investment Projections for FY 1976, How to Promote Energy Conservation Policy, An Analysis of the Business Conditions of World Corporations in Fiscal 1975.
3. Assistance to editors through provision of pictures of Japan or background information re Japan in general or specific Japanese business and economic aspects.
4. Setting up speaking engagements, or executive luncheons for JETRO officials as well as Japanese opinion leaders visiting the U.S., and generating publicity for the occasion.

Amounts Received From
Japan External Trade Organization
For The Six Month Period Ended 9/27/76

Question 14-a
Schedule-2

<u>Date</u> <u>Funds</u> <u>Received</u>	<u>Name of Foreign Principal from</u> <u>Whom Received</u>	<u>Purpose</u>	<u>Amount</u>
5/20/76	Japan External Trade Organization	Fee	\$5,000.00
5/20/76	" " " "	Fee	5,000.00
5/20/76	" " " "	Expenses	1,463.31
6/10/76	" " " "	Fee	5,000.00
6/18/76	" " " "	Expenses	1,155.99
7/8/76	" " " "	Fee	5,000.00
7/19/76	" " " "	Expenses	4,993.69
7/26/76	" " " "	Expenses	12,374.33
8/2/76	" " " "	Expenses	70.00
8/2/76	" " " "	Expenses	49.50
8/5/76	" " " "	Expenses	1,214.25
8/5/76	" " " "	Expenses	1,338.18
8/9/76	" " " "	Fee	5,000.00
8/17/76	" " " "	Expenses	335.00
8/17/76	" " " "	Expenses	2,406.05
9/8/76	" " " "	Fee	1,000/00
9/8/76	" " " "	Fee	500.00
9/13/76	" " " "	Expenses	1,768.52
9/20/76	" " " "	Fee	5,000.00

			\$58,668.82
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RUDER & FINN INCORPORATED
Schedule of Expenses for Japan External Trade
Organization
For A Six Month Period 3/28/76 - 9/27/76

Question 15A-
Schedule 3

<u>Date</u>	<u>Vendor</u>	<u>Description of Work Done</u>	<u>Amount</u>
Various	Public Relations Production Co.	Reprod, printing, addressing, mailing, photostats, etc.	\$2,259.47
Various	New York Telephone Co.	Telephone calls	421.13
Various	Postmaster	Postage	574.71
Various	Choice Delivery Ssystems	Messenger service	254.00
Various	Japan Society	Tickets for luncheon meetings	130.00
3/8/76	Columbia Communications, Inc.	Six copies of Juvenile Merchandising	6.00
Various	Ranko Iwamoto	Out-of-pocket expenses, photographic supplies, cabs, magazines, etc.	2,628.02
Various	RCA Global Communications, Inc.	Overseas telegrams	16.82
2/20/76	William Segal	Out-of-pocket expenses, cabs, magazines, etc.	34.50
3/4/76	Advertising Age	156 issues of themagazine	30.00
Various	The Washington Post	Renewal of subscription	55.40
3/23/76	American Management Assn.	publication	10.45
3/31/76	OCS America, Inc.	Subscription to Japanese Newspapers	216.12
3/30/76	Jules Printing & Stationery Co., Inc.	File Cabinet	49.63
3/11/76	Journal of Commerce	One year subscription	64.00
4/2/76	McGraw Hills Publication, Inc.	One year subscription to Business Week	21.50
Various	Ann Goldberg	Out-of-pocket expenses, cabs, magazines,	358.22
4/26/76	Radio TV Reports, Inc.	Two video cassettes	199.40
3/4/76	American Metal Market	One year subscription	60.00
Various	Mary Kemble	cabfares and stationery supplies	117.91
Various	Norman Weissman	Out-of-pocket expenses, cabs, magazines, etc.	266.10
4/26/76	Eastern Airlines	Air fare for Norman Weissman	66.00
5/26/76	Weiman & Lester, Inc.	Photo Service	33.75
6/4/76	Chesapeake & Potomac Tele. Co.	Telephone calls	7.77
5/10/76	New York Publicity Outlets	Publication	19.55
6/2/76	A & Q Typesetters	Artwork for two ads	45.25
6/20/76	New York Hilton	Cocktail reception re: Jetro Gift Show	2,639.00
4/29/76	Publicity, Inc.	Jetro reception and arrangements with Boston group	600.00
4/22/76	Major Theatre Equipment Co.	Rental of screen and projector	56.00
5/5/76	Mutual Theatre Tickets Service	Theatre tickets	119.50
6/6/76	The Work Boat	June advertisement - 1/3 page	335.00
6/21/76	Billboard Publications, Inc.	Advertisement re: Gift Show	287.60
7/8/76	Fairchild Publications, Inc.	Two advertisements re: Gift Show	768.24
6/5/76	Xerox Corporation	Supplies	4.00
6/29/76	United Exposition Service, NY	Rental of furniture and equipment including labor for exhibit at International Gift Show	9,597.17

			\$22,352.21
			=====

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D. C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form DJ-302 - Supplemental Statement):

Yes X or No

(If your answer to question 1 is "Yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes or No

(If your answer to Question 2 is "Yes" please forward for our review copies of all such material including; films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)



Signature
Charles Epton

10/20/76

Date

Please type or print name of
signatory on the line above

Treasurer

Title